



5 RS 2661

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PTO/SB/21 (05-03)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number	09/766,943
Filing Date	January 22, 2004
First Named Inventor	Gallant et al.
Art Unit	2661
Examiner Name	Unassigned
Attorney Docket Number	RIC00016

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Total Number of Pages in This Submission

5+refs

ENCLOSURES (Check all that apply)

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<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
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<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	36 References;
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Eden U.I. Stright, Reg. No. 51,205
Signature	MCI, Inc.
Date	May 12, 2004

CERTIFICATE OF TRANSMISSION/MAILING

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Typed or printed name	Chanay Robinson
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Gallant et al. Confirmation No.: 3388
Serial No.: 09/766,943 Art Unit: 2661
Filed: January 22, 2001 Examiner: Unassigned
Title: Intelligent Policy Server System and Method for Bandwidth Control in an ATM Network

INFORMATION DISCLOSURE STATEMENT

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Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly and independently considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

The documents cited herein are submitted under 37 C.F.R. § 1.97(e)(2), and are before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, therefore no fee is due.

No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.


Each of the documents listed on the attached form equivalent to Form PTO-1449 is in the English language.

It is respectfully requested that the documents cited in this Information Disclosure Statement be independently considered by the Examiner.

Please charge any shortage in the fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account No. 13-2491, and please credit any excess fees to such deposit account.

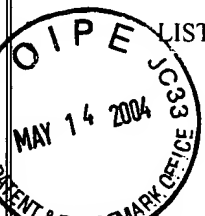
Respectfully submitted,

Date: May 12, 2004



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FORM PTO-1449 (REV. 7-80)	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTY. DOCKET NO. RIC00016	SERIAL NO. 09/766,943
 LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)		APPLICANT(S): Gallant et al.	
		FILING DATE 1/22/2001	GROUP 2661

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	6,078,586	06/2000	Dugan et al.			RECEIVED MAY 18 2004 Technology Center 2600
	6,081,525	06/2000	Christie et al.			
	6,262,992	07/2001	Nelson et al.			
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	2002/0099854	07/2002	Jorgensen			
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER	DATE CONSIDERED
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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SERIAL NO.

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LIST OF DOCUMENTS CITED BY APPLICANT

(Use several sheets if necessary)

APPLICANT(S): Gallant et al.

FILING DATE

1/22/2001

GROUP

2661

U.S. PATENT DOCUMENTS

* EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPROPRIATE)
	5,889,782	03/1999	Dendi			
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FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

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